

**SCHEDULE 'B' (RBC CS DEFAULT)****Equities****STOCKS PRICED UNDER \$1**

<b>Principal in trade</b>	<b>Commission</b>
\$0 but less than \$1,000	5% of Principal*
\$1,000 but less than \$10,000	5% of Principal*
\$10,000 and above	5% of Principal*
See Note 1	

**STOCKS PRICED \$1 AND ABOVE/100 SHARE ROUND LOT AND ODD LOTS**

<b>Principal in trade</b>	<b>Commission</b>	<b>Odd Lot Subtract</b>
\$0 but less than \$800	3.089% of Principal + \$14.52	\$3.09
\$800 but less than \$2,500	2.008% of Principal + \$24.71	\$3.09
\$2,500 but less than \$5,000	1.390% of Principal + \$40.15	\$3.09
\$5,000 and above	1.453% of Principal + \$41.98	\$3.23
See Note 1		

**STOCKS PRICED ABOVE \$1 MULTIPLE ROUND LOTS**

<b>Principal in trade</b>	<b>Commission</b>	<b>Plus 1-10 Round Lot</b>	<b>11 &amp; Above</b>
\$0 but less than \$2,500	2.008% of Principal + \$26.25	\$9.27	\$6.18
\$2,500 but less than \$5,000	1.390% of Principal + \$41.70	\$9.27	\$6.18
\$5,000 but less than \$20,000	1.453% of Principal + \$43.59	\$9.69	\$6.46
\$20,000 but less than 30,000	0.969% of Principal + \$142.09	\$9.69	\$6.46
\$30,000 and above	0.646% of Principal + \$229.27	\$9.69	\$6.46
See Note 1			

*(SEE NOTES ON FOLLOWING PAGE)*

**SCHEDULE 'B' (RBC CS DEFAULT)****Options****OPTIONS PRICED UNDER \$1**

<b>Principal in trade</b>	<b>Commission</b>
\$0 but less than \$1,000	5% of Principal
\$1,000 but less than \$10,000	5% of Principal
\$10,000 and above	5% of Principal
See Note 1	

**OPTIONS PRICED \$1 AND ABOVE**

<b>Principal in trade</b>	<b>Commission</b>
\$0 but less than \$800	3.089% of Principal + \$9.88
\$800 but less than \$2,500	2.008% of Principal + \$18.53
\$2,500 but less than \$5,000	1.3090% of Principal + \$33.98
\$5,000 and above	1.453% of Principal + \$35.52
See Notes 1 & 2	

**MULTIPLE OPTIONS PRICED ABOVE \$1**

<b>Principal in trade</b>	<b>Commission</b>	<b>Plus 1-10 Round Lot</b>	<b>11 &amp; Above</b>
\$0 but less than \$2,500	2.008% of Principal + \$18.53	\$9.27	\$6.18
\$2,500 but less than \$5,000	1.390% of Principal + \$33.98	\$9.27	\$6.18
\$5,000 but less than \$20,000	1.453% of Principal + \$35.52	\$9.69	\$6.46
\$20,000 but less than \$30,000	0.969% of Principal + \$132.40	\$9.69	\$6.46
\$30,000 and above	0.646% of Principal + \$229.27	\$9.69	\$6.46
See Notes 1 & 2			

Note 1: Minimum charges: \$50

Note 2: Maximum charges < \$5000: -\$96.52 per option

Maximum charges > \$5000 - \$101.91 per option

\* 5% of Principle or the schedule minimum (Ex: \$50), whichever is greater

- Minimums (including 5% "rule") are calculated before discounts are applied
- If correspondent minimum is higher than 5% "rule" \$50 minimum, where applicable, will override
- Commissions on sells cannot result in the Net Amount being less than zero and will be adjusted accordingly
- 5% "rule" applies to all executions in an order – total commission across all executions (odd-lots included) cannot exceed 5% or applicable Minimum and are applied to each execution based on a principal amount pro-rate
- Commission for each execution is calculated according to the applicable Tier for that execution.